

# Computational Theory

## Decidability

Curtis Larsen

Utah Tech University—Computing

Fall 2024

Adapted from notes by Russ Ross

# Decidable Languages

**Reading:** Sipser §4.1.

# Recognizable and Decidable

- ▶  $L(M) = \{w : M \text{ accepts } w\}$ .
- ▶  $L$  is **Turing-recognizable** if  $L = L(M)$  for some TM  $M$ , i.e.
  - ▶  $w \in L \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$ .
  - ▶  $w \notin L \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$  OR  $M$  never halts (it “loops”).
- ▶  $L$  is **decidable** if  $L = L(M)$  for some TM  $M$ , i.e.
  - ▶  $w \in L \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$ .
  - ▶  $w \notin L \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$ .

# Problems as Turing Machine Languages

- ▶ Encoding arbitrary objects:  $\langle O \rangle$  is a suitable string representation of  $O$ .
- ▶ Problems can be encoded as languages:  
Let  $G$  be an undirected graph. We want to know if  $G$  is connected. We can state this as the language:

$$A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$$

- ▶ The Turing machine that processes input has a (usually) hidden step that decodes and verifies the encoding, rejecting if the verification fails.

$A_{\text{DFA}}$ 

▶ **Language:**

$$A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$$

▶ **Theorem 4.1:**  $A_{\text{DFA}}$  is a decidable language.

$A_{\text{DFA}}$ 

▶ **Language:**

$$A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$$

▶ **Theorem 4.1:**  $A_{\text{DFA}}$  is a decidable language.

▶ **Machine:** Let  $M =$  “On input  $\langle B, w \rangle$ , where  $B$  is a DFA and  $w$  is a string:

1. Simulate  $B$  on input  $w$ .
2. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”

$A_{\text{DFA}}$ 

▶ **Language:**

$$A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$$

▶ **Theorem 4.1:**  $A_{\text{DFA}}$  is a decidable language.

▶ **Machine:** Let  $M =$  “On input  $\langle B, w \rangle$ , where  $B$  is a DFA and  $w$  is a string:

1. Simulate  $B$  on input  $w$ .
2. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”

▶ **Proof Sketch:** The input is finite. Every step of the simulation consumes one input symbol. The simulation will terminate.  $M$  only needs to track the current input position, and the current state. When finished, final state's classification is all that is needed.

$A_{\text{NFA}}$ 

▶ **Language:**

$A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$

▶ **Theorem 4.2:**  $A_{\text{NFA}}$  is a decidable language.



$A_{\text{NFA}}$ 

▶ **Language:**

$A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$

▶ **Theorem 4.2:**  $A_{\text{NFA}}$  is a decidable language.

▶ **Machine:** Let  $N =$  “On input  $\langle B, w \rangle$ , where  $B$  is an NFA and  $w$  is a string:

1. Convert  $B$  to an equivalent DFA  $C$ , using the procedure from Theorem 1.39.
2. Run  $M$  from Theorem 4.1 on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, *accept*; otherwise, *reject*.”

$A_{\text{NFA}}$ 

▶ **Language:**

$A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$

▶ **Theorem 4.2:**  $A_{\text{NFA}}$  is a decidable language.

▶ **Machine:** Let  $N =$  “On input  $\langle B, w \rangle$ , where  $B$  is an NFA and  $w$  is a string:

1. Convert  $B$  to an equivalent DFA  $C$ , using the procedure from Theorem 1.39.
2. Run  $M$  from Theorem 4.1 on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, *accept*; otherwise, *reject*.”

▶ **Proof Sketch:** The procedure from Theorem 1.39 is finite.  $M$  is a decider.  $N$  must also be a decider.

# $A_{\text{REX}}$

▶ **Language:**

$A_{\text{REX}} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates string } w \}$

▶ **Theorem 4.3:**  $A_{\text{REX}}$  is a decidable language.

# $A_{\text{REX}}$

▶ **Language:**

$A_{\text{REX}} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates string } w \}$

▶ **Theorem 4.3:**  $A_{\text{REX}}$  is a decidable language.

▶ **Machine:** Let  $P =$  “On input  $\langle R, w \rangle$ , where  $R$  is a regular expression and  $w$  is a string:

1. Convert  $R$  to an equivalent NFA  $B$ , using the procedure from Theorem 1.54.
2. Run  $N$  from Theorem 4.2 on input  $\langle B, w \rangle$ .
3. If  $N$  accepts, *accept*; otherwise, *reject*.”

# $A_{\text{REX}}$

▶ **Language:**

$A_{\text{REX}} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates string } w \}$

▶ **Theorem 4.3:**  $A_{\text{REX}}$  is a decidable language.

▶ **Machine:** Let  $P =$  “On input  $\langle R, w \rangle$ , where  $R$  is a regular expression and  $w$  is a string:

1. Convert  $R$  to an equivalent NFA  $B$ , using the procedure from Theorem 1.54.
2. Run  $N$  from Theorem 4.2 on input  $\langle B, w \rangle$ .
3. If  $N$  accepts, *accept*; otherwise, *reject*.”

▶ **Proof Sketch:** The procedure from Theorem 1.54 is finite.  $N$  is a decider.  $P$  must also be a decider.

$E_{\text{DFA}}$ 

- ▶ **Language:**  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- ▶ **Theorem 4.4:**  $E_{\text{DFA}}$  is a decidable language.
- ▶ **Machine:** Let  $T_{\text{bad}} =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:
  1. For each string  $w \in \Sigma^*$ :
  2. Run  $M$  on  $\langle A, w \rangle$ .
  3. If  $M$  accepts, *reject*.
  4. If no  $w$  is accepted, *accept*.”

$E_{\text{DFA}}$ 

- ▶ **Language:**  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- ▶ **Theorem 4.4:**  $E_{\text{DFA}}$  is a decidable language.
- ▶ **Machine:** Let  $T_{\text{bad}} =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:
  1. For each string  $w \in \Sigma^*$ :
  2. Run  $M$  on  $\langle A, w \rangle$ .
  3. If  $M$  accepts, *reject*.
  4. If no  $w$  is accepted, *accept*.”
- ▶ **Proof Flaw?:** What is the flaw in this machine?

$E_{\text{DFA}}$ 

- ▶ **Language:**  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- ▶ **Theorem 4.4:**  $E_{\text{DFA}}$  is a decidable language.



$E_{\text{DFA}}$ 

- ▶ **Language:**  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- ▶ **Theorem 4.4:**  $E_{\text{DFA}}$  is a decidable language.
- ▶ **Machine:** Let  $T =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:
  1. Mark the start state of  $A$ .
  2. Repeat until no new states get marked:
  3. Mark any state that has a transition coming into it from any state that is already marked.
  4. If no accept state is marked, *accept*; otherwise, *reject*.”

$E_{\text{DFA}}$ 

- ▶ **Language:**  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- ▶ **Theorem 4.4:**  $E_{\text{DFA}}$  is a decidable language.
- ▶ **Machine:** Let  $T =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:
  1. Mark the start state of  $A$ .
  2. Repeat until no new states get marked:
  3. Mark any state that has a transition coming into it from any state that is already marked.
  4. If no accept state is marked, *accept*; otherwise, *reject*.”
- ▶ **Proof Sketch:** The number of states is finite. The loop will terminate.  $T$  is a decider.

$EQ_{DFA}$ 

▶ **Language:**

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$$

▶ **Theorem 4.5:**  $EQ_{DFA}$  is a decidable language.

▶ **Machine:** Let  $F_{\text{bad}} =$  “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. For each  $w \in \Sigma^*$ :
2. Run  $M$  from Theorem 4.1 on  $\langle A, w \rangle$  and  $\langle B, w \rangle$ .
3. If the results of the two runs differ, *reject*.
4. If all results match, *accept*”

$EQ_{DFA}$ 

▶ **Language:**

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$$

▶ **Theorem 4.5:**  $EQ_{DFA}$  is a decidable language.

▶ **Machine:** Let  $F_{\text{bad}} =$  “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. For each  $w \in \Sigma^*$ :
2. Run  $M$  from Theorem 4.1 on  $\langle A, w \rangle$  and  $\langle B, w \rangle$ .
3. If the results of the two runs differ, *reject*.
4. If all results match, *accept*”

▶ **Proof Flaw?:** What is the flaw with this machine?

$EQ_{DFA}$ 

▶ **Language:**

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$$

▶ **Theorem 4.5:**  $EQ_{DFA}$  is a decidable language.

$EQ_{DFA}$ 

▶ **Language:**

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$$

▶ **Theorem 4.5:**  $EQ_{DFA}$  is a decidable language.

▶ **Machine:** Let  $F =$  “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. Construct DFA  $C$  to recognize  $L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))$ .
2. Run  $T$  from Theorem 4.4 on  $\langle C \rangle$ .
3. If  $T$  accepts, *accept*; otherwise, *reject*.”

$EQ_{DFA}$ 

▶ **Language:**

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$$

▶ **Theorem 4.5:**  $EQ_{DFA}$  is a decidable language.

▶ **Machine:** Let  $F =$  “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. Construct DFA  $C$  to recognize  $L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))$ .
2. Run  $T$  from Theorem 4.4 on  $\langle C \rangle$ .
3. If  $T$  accepts, *accept*; otherwise, *reject*.”

▶ **Proof Sketch:** The algorithms used to construct  $C$  are from chapter 1, and all are finite. This relies on the closure of regular languages under complement, intersection and union. Draw a sketch to illustrate the resulting set.

$A_{\text{CFG}}$ 

- ▶ **Language:**  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$
- ▶ **Theorem 4.7:**  $A_{\text{CFG}}$  is a decidable language.
- ▶ **Machine:** Let  $S_{\text{bad}} =$  “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:
  1. Generate all derivations of  $G$ .
  2. If any of these derivations match  $w$ , *accept*; otherwise, *reject*.”



$A_{\text{CFG}}$ 

- ▶ **Language:**  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$
- ▶ **Theorem 4.7:**  $A_{\text{CFG}}$  is a decidable language.
- ▶ **Machine:** Let  $S_{\text{bad}} =$  “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:
  1. Generate all derivations of  $G$ .
  2. If any of these derivations match  $w$ , *accept*; otherwise, *reject*.”
- ▶ **Proof Flaw?:** What is the flaw in this machine?

$A_{\text{CFG}}$ 

- ▶ **Language:**  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$
- ▶ **Theorem 4.7:**  $A_{\text{CFG}}$  is a decidable language.

$A_{\text{CFG}}$ 

- ▶ **Language:**  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$
- ▶ **Theorem 4.7:**  $A_{\text{CFG}}$  is a decidable language.
- ▶ **Machine:** Let  $S =$  “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:
  1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
  2. Generate all derivations with  $2n - 1$  steps, where  $n = |w|$ . If  $n = 0$ , generate length 1 derivations.
  3. If any of these derivations match  $w$ , *accept*; otherwise, *reject*.”

$A_{\text{CFG}}$ 

- ▶ **Language:**  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$
- ▶ **Theorem 4.7:**  $A_{\text{CFG}}$  is a decidable language.
- ▶ **Machine:** Let  $S =$  “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:
  1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
  2. Generate all derivations with  $2n - 1$  steps, where  $n = |w|$ . If  $n = 0$ , generate length 1 derivations.
  3. If any of these derivations match  $w$ , *accept*; otherwise, *reject*.”
- ▶ **Proof Sketch:** The Chomsky normal form conversion is finite. The  $2n - 1$  proof is in chapter 2 problems. This makes the number of strings generated finite.

$E_{\text{CFG}}$ 

- ▶ **Language:**  $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$
- ▶ **Theorem 4.8:**  $E_{\text{CFG}}$  is a decidable language.

$E_{\text{CFG}}$ 

- ▶ **Language:**  $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$
- ▶ **Theorem 4.8:**  $E_{\text{CFG}}$  is a decidable language.
- ▶ **Machine:** Let  $R =$  “On input  $\langle G \rangle$ , where  $G$  is a CFG:
  1. Mark all terminal symbols in  $G$ .
  2. Repeat until no new variables get marked.
  3. Mark any variable  $A$  where  $G$  has a rule  $A \rightarrow U_1 U_2 \cdots U_k$  and each symbol  $U_1, \dots, U_k$  is marked.
  4. If the start variable is not marked, *accept*; otherwise, *reject*.”

$E_{CFG}$ 

- ▶ **Language:**  $E_{CFG} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$
- ▶ **Theorem 4.8:**  $E_{CFG}$  is a decidable language.
- ▶ **Machine:** Let  $R =$  “On input  $\langle G \rangle$ , where  $G$  is a CFG:
  1. Mark all terminal symbols in  $G$ .
  2. Repeat until no new variables get marked.
  3. Mark any variable  $A$  where  $G$  has a rule  $A \rightarrow U_1 U_2 \cdots U_k$  and each symbol  $U_1, \dots, U_k$  is marked.
  4. If the start variable is not marked, *accept*; otherwise, *reject*.”
- ▶ **Proof Sketch:** The number of symbols is finite. The loop will terminate in a finite amount of time.  $R$  is a decider.

$EQ_{CFG}$ 

▶ **Language:**

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

▶ **Discussion:**



$EQ_{CFG}$ 

▶ **Language:**

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

- ▶ **Discussion:** Why not use the strategy from  $EQ_{DFA}$ ,  
 $L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))$ ?

$EQ_{CFG}$ 

▶ **Language:**

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

▶ **Discussion:** Why not use the strategy from  $EQ_{DFA}$ ,

$$L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))?$$

- ▶ The class of context-free languages is not closed under complementation nor under intersection.

$EQ_{CFG}$ 

▶ **Language:**

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

▶ **Discussion:** Why not use the strategy from  $EQ_{DFA}$ ,

$$L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))?$$

▶ The class of context-free languages is not closed under complementation nor under intersection.

▶  $EQ_{CFG}$  is not decidable. Proof to come later.

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_{G_{\text{bad}}}$  = “On input  $\langle G \rangle$  and string  $w$ :
  1. Convert  $G$  into PDA  $B$ .
  2. Simulate  $B$  on input  $w$ .
  3. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_{G_{\text{bad}}}$  = “On input  $\langle G \rangle$  and string  $w$ :
  1. Convert  $G$  into PDA  $B$ .
  2. Simulate  $B$  on input  $w$ .
  3. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”
- ▶ **Proof Flaw?:** What is the flaw in this machine?

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_{G_{\text{bad}}}$  = “On input  $\langle G \rangle$  and string  $w$ :
  1. Convert  $G$  into PDA  $B$ .
  2. Simulate  $B$  on input  $w$ .
  3. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”
- ▶ **Proof Flaw?:** What is the flaw in this machine?
- ▶ Hint: Deciders must halt.

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_{G_{\text{bad}}}$  = “On input  $\langle G \rangle$  and string  $w$ :
  1. Convert  $G$  into PDA  $B$ .
  2. Simulate  $B$  on input  $w$ .
  3. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”
- ▶ **Proof Flaw?:** What is the flaw in this machine?
- ▶ Hint: Deciders must halt.
- ▶ Hint: Why can we not guarantee that the simulation of  $B$  will halt?



# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_G =$  “On input string  $w$ :
  1. Run  $S$  from Theorem 4.7 on input  $\langle G, w \rangle$ .
  2. If  $S$  accepts, *accept*; otherwise, *reject*.”

# Context-free languages are decidable

- ▶ **Theorem 4.9:** Every context-free language is decidable.
- ▶ **Machine:** Let  $M_G =$  “On input string  $w$ :
  1. Run  $S$  from Theorem 4.7 on input  $\langle G, w \rangle$ .
  2. If  $S$  accepts, *accept*; otherwise, *reject*.”
- ▶ **Proof Sketch:** The only work is to encode the finite grammar  $G$ .  $M_G$  is a decider.

# Undecidable Languages

**Reading:** Sipser §4.2.

# $A_{TM}$ is recognizable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

# $A_{\text{TM}}$ is recognizable

▶ **Language:**

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w\}$

▶ **Theorem:**  $A_{\text{TM}}$  is recognizable.

# $A_{TM}$ is recognizable

▶ **Language:**

$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w\}$

▶ **Theorem:**  $A_{TM}$  is recognizable.

▶ **Machine:** Let  $U =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Simulate  $M$  on input  $w$ .
2. If  $M$  enters its accept state, *accept*; if  $M$  enters its reject state, *reject*.”

# $A_{TM}$ is recognizable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

▶ **Theorem:**  $A_{TM}$  is recognizable.

▶ **Machine:** Let  $U =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Simulate  $M$  on input  $w$ .
2. If  $M$  enters its accept state, *accept*; if  $M$  enters its reject state, *reject*.”

▶ **Proof Sketch:** What are the possible outcomes?



# $A_{TM}$ is recognizable

▶ **Language:**

$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$

▶ **Theorem:**  $A_{TM}$  is recognizable.

▶ **Machine:** Let  $U =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Simulate  $M$  on input  $w$ .
2. If  $M$  enters its accept state, *accept*; if  $M$  enters its reject state, *reject*.”

▶ **Proof Sketch:** What are the possible outcomes? If  $M$  accepts  $w$ ,  $U$  will accept. If  $M$  does not accept  $w$ ,  $M$  will either reject or loop, and  $U$  will either reject or loop. These are the conditions for a recognizer TM.  $U$  recognizes  $A_{TM}$ .

# $A_{TM}$ is undecidable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

# $A_{TM}$ is undecidable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

▶ **Theorem 4.11:**  $A_{TM}$  is undecidable.

# $A_{TM}$ is undecidable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

▶ **Theorem 4.11:**  $A_{TM}$  is undecidable.

▶ **How can we prove undecidability?** Any ideas from the way we prove not context-free, or not regular?

# $A_{TM}$ is undecidable

▶ **Language:**

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine and } M \text{ accepts } w \}$$

▶ **Theorem 4.11:**  $A_{TM}$  is undecidable.

▶ **How can we prove undecidability?** Any ideas from the way we prove not context-free, or not regular?

Assume it is decidable, then show that assumption leads to a contradiction.

# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- ▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:
  1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- ▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:
  1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} \end{cases}$$



# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- ▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:
  1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \end{cases}$$

# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- ▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:
  1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \end{cases}$$

# $A_{TM}$ is undecidable

- ▶ Assume  $A_{TM}$  is decidable  $\rightarrow$  Let  $H$  be a decider for  $A_{TM}$ .

$$H = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- ▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:
  1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

# $A_{TM}$ is undecidable

► **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

# $A_{TM}$ is undecidable

► **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

► **What is the result of  $D(\langle D \rangle)$ ?**

# $A_{TM}$ is undecidable

▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

▶ **What is the result of  $D(\langle D \rangle)$ ?**

$$D(\langle D \rangle) = \begin{cases} \textit{accept} \end{cases}$$

# $A_{TM}$ is undecidable

▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

▶ **What is the result of  $D(\langle D \rangle)$ ?**

$$D(\langle D \rangle) = \begin{cases} \textit{accept} & \text{if } D \text{ does not accept } \langle D \rangle \end{cases}$$

# $A_{TM}$ is undecidable

▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

▶ **What is the result of  $D(\langle D \rangle)$ ?**

$$D(\langle D \rangle) = \begin{cases} \textit{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \textit{reject} & \end{cases}$$



# $A_{TM}$ is undecidable

▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

▶ **What is the result of  $D(\langle D \rangle)$ ?**

$$D(\langle D \rangle) = \begin{cases} \textit{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \textit{reject} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

# $A_{TM}$ is undecidable

▶ **Machine:** Let  $D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  rejects, *accept*; if  $H$  accepts, *reject*.”

$$D(\langle M \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \textit{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

▶ **What is the result of  $D(\langle D \rangle)$ ?**

$$D(\langle D \rangle) = \begin{cases} \textit{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \textit{reject} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

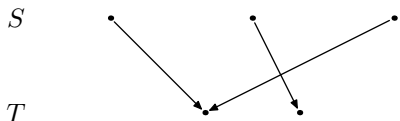
▶ This contradiction proves our assumption of “ $A_{TM}$  is decidable and  $H$  exists as a decider for  $A_{TM}$ ” is false.  $A_{TM}$  is not decidable.

# Correspondence

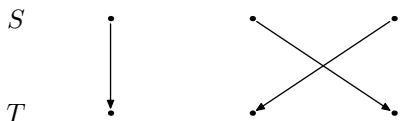
## Special varieties of functions



1-1:  
 $s_1 \neq s_2 \Rightarrow$   
 $f(s_1) \neq f(s_2)$



Onto:  
 For every  $t \in T$   
 there is an  $s \in S$   
 such that  $f(s) = t$



Bijection:  
 1-1 and onto  
 “1-1 Correspondence”

Formal definition of **cardinality**:  $S$  has (finite) cardinality  $n \in \mathcal{N}$  iff there is a bijection  $f : \{1, \dots, n\} \rightarrow S$ .

# Size of a set

# co-Turing-recognizable

- ▶ **Definition:** Language  $A$  is co-Turing-recognizable if it is the complement of a Turing-recognizable language.

## co-Turing-recognizable

- ▶ **Definition:** Language  $A$  is co-Turing-recognizable if it is the complement of a Turing-recognizable language.
- ▶ **Alt. Definition:** Language  $A$  is co-Turing-recognizable if  $\overline{A}$  is Turing-recognizable.

## co-Turing-recognizable

- ▶ **Definition:** Language  $A$  is co-Turing-recognizable if it is the complement of a Turing-recognizable language.
- ▶ **Alt. Definition:** Language  $A$  is co-Turing-recognizable if  $\bar{A}$  is Turing-recognizable.
- ▶ **Alt. Definition:** Language  $\bar{A}$  is co-Turing-recognizable if  $A$  is Turing-recognizable.



## Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable.

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement.

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement. The complement of a decidable language is also decidable, by swapping accept and reject results.

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement. The complement of a decidable language is also decidable, by swapping accept and reject results.
  - ▶ **Part 2:** If language  $A$  is Turing-recognizable and co-Turing-recognizable, then it is decidable.

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement. The complement of a decidable language is also decidable, by swapping accept and reject results.
  - ▶ **Part 2:** If language  $A$  is Turing-recognizable and co-Turing-recognizable, then it is decidable. If  $A$  is Turing-recognizable by  $M_1$ , and  $\bar{A}$  is Turing-recognizable by  $M_2$ , then we can make a decider for  $A$ .

# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement. The complement of a decidable language is also decidable, by swapping accept and reject results.
  - ▶ **Part 2:** If language  $A$  is Turing-recognizable and co-Turing-recognizable, then it is decidable. If  $A$  is Turing-recognizable by  $M_1$ , and  $\bar{A}$  is Turing-recognizable by  $M_2$ , then we can make a decider for  $A$ .  
**Machine:** Let  $M =$  “On input  $w$ :
    1. Run both  $M_1$  and  $M_2$  on input  $w$  in parallel.
    2. If  $M_1$  accepts, *accept*; if  $M_2$  accepts, *reject*.”



# Decidable, Turing-recognizable, and co-Turing-recognizable

- ▶ **Theorem 4.22:** A language is decidable if and only if it is Turing-recognizable and co-Turing-recognizable.
- ▶ **Proof:**
  - ▶ **Part 1:** If language  $A$  is decidable, then it is Turing-recognizable and co-Turing-recognizable. All decidable languages are Turing-recognizable, due to the accept requirement. The complement of a decidable language is also decidable, by swapping accept and reject results.
  - ▶ **Part 2:** If language  $A$  is Turing-recognizable and co-Turing-recognizable, then it is decidable. If  $A$  is Turing-recognizable by  $M_1$ , and  $\bar{A}$  is Turing-recognizable by  $M_2$ , then we can make a decider for  $A$ .  
**Machine:** Let  $M =$  “On input  $w$ :
    1. Run both  $M_1$  and  $M_2$  on input  $w$  in parallel.
    2. If  $M_1$  accepts, *accept*; if  $M_2$  accepts, *reject*.”

# An unrecognizable language

- ▶ **Theorem 4.23:**  $\overline{A_{TM}}$  is not Turing-recognizable.

# An unrecognizable language

- ▶ **Theorem 4.23:**  $\overline{A_{TM}}$  is not Turing-recognizable.
- ▶ **Proof:**

# An unrecognizable language

- ▶ **Theorem 4.23:**  $\overline{A_{TM}}$  is not Turing-recognizable.
- ▶ **Proof:**  $A_{TM}$  is Turing-recognizable (by unnamed Theorem). By Theorem 4.22, if  $\overline{A_{TM}}$  were Turing-recognizable, then  $A_{TM}$  would be decidable.  $A_{TM}$  is undecidable (by Theorem 4.11).

# Language Nesting

